

PATENT



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#9 2171
Docket No.: D3398-00033CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Aguais et. al.

Examiner:

Serial No.: 10/051,905

Group Art Unit: 2171

Filed: January 17, 2002

For: SYSTEM AND METHODS FOR
VALUING AND MANAGING THE RISK
OF CREDIT INSTRUMENT PORTFOLIOS

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February 28, 2002

SIGNATURE

Mary La Grange

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to examination, please amend this application as follows:

IN THE SPECIFICATION:

Please insert the following new paragraph after the title, before paragraph [0001]:

This application is a continuation-in-part of U.S. Patent Application No. 10/044,071, filed January 10, 2002.

REMARKS

The specification is being amended to claim continuation-in-part status from Application No. 10/044,071. This claim to the benefit of the earlier filed application is being made within four months of the filing date of this application, and is therefore timely.